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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/699,351

10/31/2003

Ronald James Jandacek

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27752 7590 02/25/2010  
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EXAMINER

GEMBEH, SHIRLEY V

ART UNIT

PAPER NUMBER

1618

MAIL DATE

DELIVERY MODE

02/25/2010

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/699,351	<b>Applicant(s)</b> JANDACEK ET AL.	
	<b>Examiner</b> SHIRLEY V. GEMBEH	<b>Art Unit</b> 1618	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 27 January 2010.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1,3,5-7,9-12 and 49-53 is/are pending in the application.
- 4a) Of the above claim(s) 49-53 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1,3,5-7 and 9-12 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☒ Claim(s) 1,3,5-7,9-12 and 49-53 are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |   |   |
|---|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                    | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)         | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____   | 6) <input type="checkbox"/> Other: _____                          |

## **DETAILED ACTION**

### ***Continued Examination Under 37 CFR 1.114***

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 1/27/10 has been entered.
2. Applicant's arguments filed 1/27/10 have been fully considered but they are not deemed to be persuasive.
3. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.
4. Claims 1, 3, 5-7, 9-12 are pending in this office action. Claims 49-53 are withdrawn.
5. The rejection of claims 1 & 3-12 under 35 U.S.C. 103(a) as being unpatentable over de Smidt et al. (US 6,703,369) in view of Maeder et al. (US 6,730,319) is withdrawn based on the amendment to instant claim 1 by the addition of a limitation "a

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non-digestible, non-absorbable, open-celled HIPE foam”, or due to the cancellation of the claims.

6. The rejection of claims 13-24 and 25-30 under 35 U.S.C. 103(a) as being as unpatentable over de Smidt et al. (US 6,703,369) in view of Maeder et al. (US 6,730,319) is withdrawn based on the cancellation of the claims.

7. The rejection of claims 31-36 and 71 under 35 U.S.C. 103(a) as being as unpatentable over de Smidt et al. US (6,703,369) or Maeder et al. (US 6,730,319) in view of Hug et al. (US 6,358,522) is withdrawn based on the cancellation of the claims.

### ***Claim Objections***

8. Claim 1 is objected to because of the following informalities: The abbreviation HIPE should be given as its full name or with the full name in parenthesis therewith when first used. Appropriate correction is required.

### ***Claim Rejections - 35 USC § 103***

9. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 1, 3, 5-7, 9-12 are rejected under 35 U.S.C. 103(a) as being unpatentable over de Smidt et al. (US 6,703,369) in view of Maeder et al. (US 6,730,319) and Park et al. (US 5,750,585, already made of record).

The claims are directed to a composition comprising a stiffening agent having a complete melting point of about 37°C or greater, a lipase inhibitor and a non-digestible, non-absorbable, open-celled HIPE foam.

With regards to claim 1 de Smidt et al. teach a pharmaceutical composition comprising (i) a glyceride ester (thus R-OR' which is per definition a stiffening agent) or

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a fatty acid (see abstract and col. 1 lines 50+), R is (12-20) (see col. 3, line 60), with a melting point of 37°C and

(ii) a lipase inhibitor (i.e., orlistat known also known as tetrahydrolipstatin, see col. 1 lines 46+ and col. 3, lines 27-35) wherein the ratio of the stiffening agent is at least 4.5:1 (see col. 4, lines 38-65), the stiffening agent varies between 0.5 and 90% and the lipase inhibitor varies from 1-50% (as required by instant claims 1(a & b), 3, 5-7, 9-11). Intrinsically, one of ordinary skill in the art would routinely adjust the ratios based on the amount of either the lipase inhibitor or the stiffening agent to arrive at the claimed ratios recited in claims 7 and 9-11. For example if the stiffening agent present in an amount of 45% and the lipase inhibitor present is 10%, the ratio therefore is 4.5:1 or 90% of the stiffening agent to 20% of the lipase inhibitor.

However, de Smidt fails to teach the specific stiffening agent as calcium stearate, behenic acid and mixtures thereof as required by instant claim 12, and also fails to teach a non-digestible, non-absorbable, open-celled HIPE foam. Therefore Maeder and Park are added to remedy this deficit.

Maeder et al. teach a pharmaceutical composition containing a lipase inhibitor, a fatty acid having a melting point equal or greater than 37°C, (see col. 1 lines 7-21), wherein the fatty acid is selected from behenic acid (see col. 5, lines 43+) as in claims 1, 3 and 12.

However Maeder et al. fails to teach a non-digestible, non-absorbable, open-celled HIPE foam.

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Park et al. teach a non-digestible, non-absorbable, open-celled HIPE foam compositions and methods of orally administering said forms compositions for the treatment of obesity (see column 3, lines 15-25 and column 15, lines 16-32, as it relates to claim 1).

However, Park fails to teach a lipase inhibitor.

Although de Smidt fails to teach the specific stiffening agent and the non-digestible, non-absorbable, open-celled HIPE foam, it would have been obvious to one having ordinary skill in the art at the time the invention was made to expand the teachings of de Smidt to include a non-digestible, non-absorbable, open-celled HIPE foam in the drug delivery foam compositions disclosed by Park et al. for formulation of a composition for reducing fat absorption or for treating obesity, as taught by de Smidt. One of ordinary skill in the art would have had reasonable expectation of success in the formulation of the composition for fat absorption and treatment of obesity because Park et al. teach that open-celled foam compositions can be used as oral drug delivery system, in oral dosage forms for reducing fat absorption and treating obesity.

10. No claim is allowed.

11. Any inquiry concerning this communication or earlier communications from the examiner should be directed to SHIRLEY V. GEMBEH whose telephone number is (571)272-8504. The examiner can normally be reached on 8:30 -5:00, Monday- Friday.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, MICHAEL HARTLEY can be reached on 571-272-0616. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/S. V. G./  
Examiner, Art Unit 1618  
2/18/10

/Robert C. Hayes/  
Primary Examiner, Art Unit 1649